

67107 U.S. PTO



07/22/97

PTO/SB/13 (11-96)

Approved for use through 6/30/99. OMB 0651-0033

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
861-001-9-1	CLASS	SUBCLASS	Examiner Parker	

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

This is a request for filing a ☐ continuation ☒ divisional application under 37 CFR 1.60, of pending prior Application Number 08/813055, filed on 03/07/1997, entitled CONTINUOUS SOLID STATE WEB COATING PROCESS AND WEBS PRODUCED THEREBY

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number 08/813055, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	10	- 20 =	0	x \$ _____ =	\$ 0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	5	- 3 =	2	x \$ 80 =	160.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ _____ =	
BASIC FEE (37 CFR 1.16(a))				+	770.00
Total of above Calculations =					930.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					
TOTAL =					\$ 930.00

2. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27☐ is enclosed.☐ was filed in prior application number \_\_\_\_\_ / \_\_\_\_\_ and such status is still proper and desired (37 CFR 1.28(a)).3. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 23-0442. A duplicate copy of this sheet is enclosed.4. ☒ A check in the amount of \$ 930.00 is enclosed.5. ☒ Cancel in this application original claims 1-15 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)6. ☒ The inventor(s) of the invention being claimed in this application is (are): Evan E. Koslow; Richard D. Kendrick; and Gordon Spilkin7. ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.60(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:8. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation☒ division of application number 08/813055, filed 03/07/1997, (status, abandoned, pending, etc.)."Now US Patent No. 5792513

[Page 1 of 2]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**(REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60, PAGE 2)**

9. ☒ New formal drawings are enclosed.
10. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_  
is claimed under 35 U.S.C. 119(a) - (d).  
☐ The certified copy has been filed in prior application number \_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_.
11. ☐ A preliminary amendment is enclosed.
12. ☒ The prior application is assigned of record to KT Corporation
13. ☐ Also enclosed:
14. ☒ The power of attorney in the prior application is to: Garold E. Bramblett; James R. Frederick; Robert H. Ware; Alfred A. Fressola; and K. Bradford Adolphson
- a. ☒ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

☐ Customer Number

Place Customer Number Bar  
Code Label here

OR

Type Customer Number here

Firm or

☒ Individual Name Garold E. BramblettAddress Ware, Fressola, Van der Sluys & AdolphsonAddress 755 Main Street, P.O. Box 224City MonroeState CTZIP 06468Country USATelephone (203) 261-1234Fax (203) 261-56767/22/97

Date

Signature

Garold E. Bramblett

Typed or printed name

- ☐ Inventor(s)
- ☐ Assignee of complete interest. Certification under 37 CFR 3.73(b) is enclosed.
- ☒ Attorney or agent of record
- ☒ Filed under 37 CFR 1.34(a)  
Registration number if acting under 37 CFR 1.34(a) 19,119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Evan E. Koslow; Richard D. Kendrick; and Gordon Spilkin  
Title: **CONTINUOUS SOLID STATE WEB COATING PROCESS AND WEBS  
PRODUCED THEREBY**

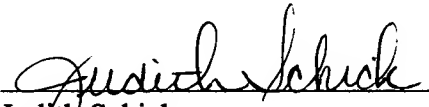
CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EM537162504US  
Date of Deposit: July 22, 1997

I hereby certify that this paper and fee, which consists of:

- Request for Filing a Patent Application under 37 CFR 1.60;
- copy of Patent Application including 1 Sheet of Drawings;
- copy of Declaration;
- a check in the amount of \$930 for the application filing fee

are being deposited under 37 CFR 1.10 on the date indicated above with the United States Postal Service "Express Mail Post Office to Addressee" service to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Judith Schick

WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP  
755 Main Street  
P.O. Box 224  
Monroe, CT 06468  
Tel: (203) 261-1234  
Fax: (203) 261-5676

Our Docket No.: 861-001-9-1

6/18/98  
Practitioner's Docket No. 861-001-9-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Evan E. Koslow et al.

Application No.: 08 / 903,395

Group No. 1774

Filed: July 22, 1997

Examiner: K. Lee

For: CONTINUOUS SOLID STATE WEB COATING PROCESS AND WEBS PRODUCED THEREBY

☐ Patent No.:

Issued:

\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND

(37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

(complete (a) or (b))

06/04/1998 FCONNELL 00000004 00000000 is a statement claiming small entity status in this application.

01 FC:203

(b) ☐ A statement claiming small entity status was filed in this application on

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this

Adjustment date: 06/04/1998 FCONNELL  
06/01/1998 SSANDARA 00000099 08903395  
02 FC:103

May 26, 1998

Repln. Ref: 06/04/1998 FCONNELL 0014145200  
DAB:230442 Name/Number:08903395  
FC: 704 \$88.00 CR

NOTE: The two month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

Adjustment date: 06/04/1998 FCONNELL  
06/01/1998 SSANDARA 00000099 08903395  
01 FC:102

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

-164.00 OP

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

06/04/1998 FCONNELL 00000013 08903395  
01 FC:202

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Annemarie Lazor

(type or print name of person certifying)

May 29, 1998  
Repln. Ref: 06/04/1998 FCONNELL 0014145200  
DAB:230442 Name/Number:08903395  
FC: 704 \$82.00 CR

Request for Refund [7-8]—page 1 of 3)

COMPLETED

98 JUN 15 AM 9:49  
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**III. FEES PAID FOR WHICH REFUND REQUESTED**

**AMOUNT OF  
REFUND  
REQUESTED**

- ☐ Filing fee \$ \_\_\_\_\_
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l)). \$ \_\_\_\_\_

or

- ☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) \$ \_\_\_\_\_
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ \_\_\_\_\_

or

- ☐ Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ \_\_\_\_\_
- ☐ Extension of term \$ \_\_\_\_\_
- ☐ Issue fee \$ \_\_\_\_\_
- ☐ Patent maintenance fee
- ☐ first maintenance fee \$ \_\_\_\_\_
- ☐ second maintenance fee \$ \_\_\_\_\_
- ☐ third maintenance fee \$ \_\_\_\_\_
- ☐ Patent maintenance fee surcharge.

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

- ☒ Other (extra claims) \$ 170.00

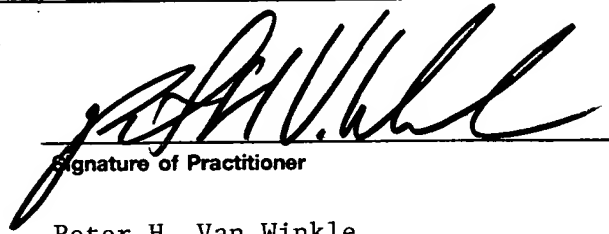
**TOTAL REFUND REQUESTED** \$ 170.00

**IV. MANNER OF REFUND**

Please make refund by

- ☒ crediting Account No. 23-0442  
☐ refunding overpayment.

Reg. No.: 36,039

  
\_\_\_\_\_  
Signature of Practitioner

Peter H. Van Winkle

\_\_\_\_\_  
(type or print name of practitioner)

Tel. No.: (203) 261-1234

\_\_\_\_\_  
Ware, Fressola, Van Der Sluys & Adolphson LLP

P.O. Address

Bradford Green, Building Five  
755 Main Street, P.O. Box 224

Customer No.: 04955

\_\_\_\_\_  
Monroe, CT 06468

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Practitioner's Docket No. 861-001-9-1

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

☒ In re application of: Evan E. Koslow et al.

Application No.: 08 / 903,395

Group No. 1774

Filed: July 22, 1997

Examiner: K. Lee

For: CONTINUOUS SOLID STATE WEB COATING PROCESS AND WEBS PRODUCED THEREBY

☐ Patent No.:

Issued:

*\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment, also insert application number and filing date, and mark Form Box M. Fee.*

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**TRANSMITTAL OF STATEMENT(S)  
TO ESTABLISH SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

☒ application,

☐ patent,

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**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))**

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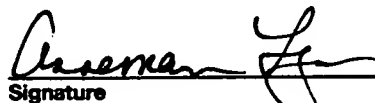
**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: May 29, 1998



Signature

Annemarie Lazor

(type or print name of person certifying)

by the:

(check all applicable boxes below)

- |   |                           |
|---|---------------------------|
| a. <input type="checkbox"/> independent inventor(s)                     | 37 CFR 1.9(c) and 1.27(b) |
| b. <input type="checkbox"/> non-inventor supporting<br>claim by another | 37 CFR 1.9(c) and 1.27(b) |
| c. <input checked="" type="checkbox"/> small business concern           | 37 CFR 1.9(d) and 1.27(c) |
| d. <input type="checkbox"/> nonprofit organization                      | 37 CFR 1.9(e) and 1.27(d) |

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Reg. No. 36,039

Peter H. Van Winkle

\_\_\_\_\_  
(type or print name of practitioner)

Tel. No. ( 203 ) 261-1234

Ware, Fressola, Van Der Sluys & Adolphson LLP

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